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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

MATHES ET AL.

INTERNATIONAL APPLICATION NO: PCT/EP03/11034

FILED: 6 OCTOBER 2005

U.S. APPLICATION NO: 10/530,617

35 USC §371 DATE: 9 SEPTEMBER 2005

FOR: ENANTIOSELECTIVE PROCESS FOR THE PREPARATION OF

BOTH ENANTIOMERS OF 10,11-DIHYDRO-10-HYDROXY-5H-DIBENZ[B,F]AZEPINE-5-CARBOXAMIDE AND NEW CRYSTAL

FORMS THEREOF

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

#### LETTER CORRECTING OFFICIAL FILING RECEIPT

Sir:

The official filing receipt received in the above-identified application erroneously lists Inventor, Christian Mathes' address. Please issue a corrected filing receipt listing the address as follows:

-- Christian Mathes, Rheinfelden, GERMANY--

A copy of the filing receipt with the correction noted is enclosed.

No fee is believed to be required by this request for a corrected filing receipt.

Respectfully submitted,

Novartis Corporate Intellectual Property One Health Plaza, Building 104 East Hanover, NJ 07936-1080 (862) 778-7951

Date: MAR 2 1 2006

Peter J. Waibel Attorney for Applicants

Reg. No. 43,228



#### United States Patent and Trademark Office

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/530 617 /	00/00/2005	/1624	2830	PN/4-32716A /	21	19	12

**CONFIRMATION NO. 6996** 

1095 NOVARTIS CORPORATE INTELLECTUAL PROPERTY ONE HEALTH PLAZA 104/3 EAST HANOVER, NJ 07936-1080

NZB

FILING RECEIPT

\*OC000000017986298\*

Date Mailed: 02/06/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Christian Mathes, Offenbury, GERMANY; Gottfried Sedelmeier, Schallstadt, GERMANY; Fritz Blatter, Reinach, SWITZERLAND; Sabine Pfeffer, Weil am Rhein, GERMANY; Dominique Grimler, Hirsingue, FRANCE;

Power of Attorney: The patent practitioners associated with Customer Number 1095.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/11034 10/06/2003 🗸

Foreign Applications

UNITED KINGDOM 0223224.7 10/07/2002 🗸

Projected Publication Date: 05/18/2006

Non-Publication Request: No

Early Publication Request: No

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**Title** 

Enantioselective process for the preparation of both enantiomers of 10,11-dihydro-10-hydroxy-5h-dibenz[b,f]azepine-5-carboxamide and new crystal forms thereof

**Preliminary Class** 

540

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